UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virgina 22313-1450

### NOTICE OF ALLOWANCE AND FEE(S) DUE

29175 7590 K&L Gates LLP P. O. BOX 1135 CHICAGO, IL 60690 96/22/2011 EXAMINER
HAN, KWANG S

ART UNIT PAPER NUMBER

DATE MAILED: 06/22/2011

APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO.

10/539 243 07/20/2055 Visibilitation 37/12/174/04/63 9/22

TITLE OF INVENTION: A COMPACT FUEL CELL SEPARATOR INCORPORATING FLUID OXIDANT SUPPLY MEANS, A FUEL CELL DEVICE AND AN ELECTRONIC APPLIED DEVICE INCORPORATING THE COMPACT FUEL CELL SEPARATOR

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | NO           | \$1510        | \$300               | SO.                  | \$1810           | 09/22/2011 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. ISI. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

IL PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

06/22/2011

SMALL ENTITY

or Fax (571)-273-2885

PREV. PAID ISSUE FEE

en

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where

| ndicated unless corrected below or directed otherwise in Block 1, by (a) specifying a naintenance fee notifications. | new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for |
|--|--|
| CURRENT CORRESPONDENCE ADDRESS (Alone Hos Block I for our choses of address)   | Note: A contificate of mailing one only he used for demostic mailings of the   |

29175 7590 K&L Gates LLP P. O. BOX 1135 CHICAGO, IL 60690

APPLN. TYPE

Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Feeds (Tansmitted is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (5/11) 273-22885, on the date indicated below.

| (Depositor's name) |  |
|--------------------|--|
| (Signature)        |  |
| (Date)             |  |
|                    |  |

TOTAL FEE(S) DUE

DATE DUE

APPLICATION NO FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 10/539 243 07/29/2005 Ynichi Takai 3712174 00463 9242

PUBLICATION FEE DUE

TITLE OF INVENTION; A COMPACT FUEL CELL SEPARATOR INCORPORATING FLUID OXIDANT SUPPLY MEANS, A FUEL CELL DEVICE AND AN ELECTRONIC APPLIED DEVICE INCORPORATING THE COMPACT FUEL CELL SEPARATOR

ISSUE FEE DUE

|   | ART UNIT   | CLASS-SUBCLASS   |  |                             |   |
|---|--|--|--|-----------------------------|---|
| 3 S   | 1727   | 429-457000   |  |                             |   |
| I. Change of correspondence address or indication of "Fee Address" (37 CFR 1.563). Change of correspondence address (or Change of Correspondence Address form PTOSB/122) attached.  J "Fee Address" indication (or Fee Address' Indication form PTOSB/47; Rev 03-02 or more recent) attached. Use of a Customer Xumber is required.   |  |  | 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.  |                             |   |
| 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATEST (grint or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed i recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (a) NAME OF ASSIGNEE (b) RESIDENCE: (CITY and STATE OR COUNTRY) |  |  |  |                             | cument has been filed for   |
| signee category or  | categories (will not be pr   | inted on the patent):  | Individual Corporation   | or other private grou       | p entity Government   |
| dl entity discount p  | permitted)   | A check is enclosed.  Payment by credit care The Director is hereby  | Form PTO-2038 is attache<br>authorized to charge the requ  | i.<br>ired fee(s), any defi |   |
|   | ce address (or Cha<br>attached.  of "Fee Address' nore recent) attache ESIDENCE DAT; a assignee is ident CFR 3.11. Comp signee category or britted: all entity discount p pies | The second of th | ddress or indication of Tee Address" (37 can ddress or indication of Tee Address' (38 can ddress') (39 can ddress') (49 can ddress') (49 can ddress') (40 can dd | 3                           | ddress or indication of "Fee Address" (27 ddress or indication of "Fee Address" (27 cddress or indication of "Fee Address" (28 cddress) (29 cddress) |

Authorized Signature Date Typed or printed name Registration No. This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for rectucing this burden, should be sent to the Chief Information Officer. U.S. Patest and Trademark Officer. U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 2231-450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 2231-450.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

interest as shown by the records of the United States Patent and Trademark Office

□ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vincins 22313-1450

| Г                     | APPLICATION NO. | FILING DATE   | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |  |
|-----------------------|-----------------|---------------|----------------------|---------------------|------------------|--|
| Τ                     | 10/539,243      | 07/29/2005    | Yuichi Takai         | 3712174.00463       | 9242             |  |
| 29175 7590 06/22/2011 |                 | 90 06/22/2011 |                      | EXAMINER            |                  |  |
|                       | K&L Gates LLP   |               |                      | HAN, KWANG S        |                  |  |
|                       | P. O. BOX 1135  | .00           |                      | ART UNIT            | PAPER NUMBER     |  |
| CHICAGO, IL 60690     |                 |               |                      | PAPIK NUMBEK        |                  |  |
|                       |                 |               |                      | 1727                |                  |  |

DATE MAILED: 06/22/2011

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 694 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 694 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom
  of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of
  records may be disclosed to the Department of Justice to determine whether disclosure of these
  records is required by the Freedom of Information Act.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2004 and 2006. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

# Notice of Allowability

| Application No. | Applicant(s)  |  |
|-----------------|---------------|--|
| 10/539,243      | TAKAI, YUICHI |  |
| Examiner        | Art Unit      |  |
| KWANG HAN       | 1727          |  |

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address-All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included
herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS
NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative
of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

- 1. X This communication is responsive to the request for a pre-appeal conference filed on May 10, 2011.
- The allowed claim(s) is/are 13 and 15-27.
- 3. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
  - a) 

    All b) 

    Some\* c) 

    None of the:
    - 1. A Certified copies of the priority documents have been received.
    - 2. Certified copies of the priority documents have been received in Application No. \_\_\_\_
    - Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).
  - \* Certified copies not received: \_\_\_\_\_.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.
THIS THREE MONTH PERIOD IS NOT EXTENDABLE.

- A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
- 5. CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
  - (a) Including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
    - 1) hereto or 2) to Paper No./Mail Date
  - (b) including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date \_\_\_\_\_.

Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).

6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

### Attachment(s)

- 1. Notice of References Cited (PTO-892)
- 2. Notice of Draftperson's Patent Drawing Review (PTO-948)
- 3. Information Disclosure Statements (PTO/SB/08),
- Paper No./Mail Date \_\_\_
- Examiner's Comment Regarding Requirement for Deposit of Biological Material
- 5. Notice of Informal Patent Application
- Interview Summary (PTO-413), Paper No./Mail Date .
- 7. Examiner's Amendment/Comment
- 8. X Examiner's Statement of Reasons for Allowance
- 9. 🔲 Other \_\_\_\_

Application/Control Number: 10/539,243 Page 2

Art Unit: 1727

A COMPACT FUEL CELL SEPARATOR INCORPORATING FLUID OXIDANT SUPPLY MEANS, A FUEL CELL DEVICE AND AN ELECTRONIC APPLIED DEVICE

INCORPORATING THE COMPACT FUEL CELL SEPARATOR

Examiner: K. Han SN: 10/539,243 Art Unit: 1727 June 18, 2011

## **Detailed Action**

1. The Applicant's notice of appeal and request for pre-appeal conference filed on May 10. 2011 was received. Decision was made to reopen prosecution based on Applicants arguments.

2 The text of those sections of Title 35. U.S. Code not included in this action can be found in a prior Office action.

## Claim Rejections - 35 USC § 103

- 3. The claim rejection under 35 U.S.C. 103(a) as unpatentable over Goto et al. in view of Kobayashi on claims 13, 15, 16, 21, 23, and 25-26 is withdrawn, because of Applicant's arguments.
- 4. The claim rejection under 35 U.S.C. 103(a) as unpatentable over Goto et al. in view of Kobayashi as applied to claims 13 and 14 and further in view of Shiue et al. and Khandkar et al. on claims 17-20 and 22 is withdrawn, because of Applicant's arguments.
- 5. The claim rejection under 35 U.S.C. 103(a) as unpatentable over Goto et al. in view of Kobayashi and Pratt et al. on claims 24 and 27 is withdrawn, because of Applicant's arguments.

Application/Control Number: 10/539,243

Art Unit: 1727

### Reasons for Allowance

6. The following is an examiner's statement of reasons for allowance: Claims 13, 15-27 are allowed. Independent claims 13, 23 and 24 recite a separator body with a fluid oxidant supply channel formed on the separator body to supply a fluid oxidant with at least one element selected from the group consisting of a fan and a pump provided within said separator body is provided at an opening on an inlet end of the fluid oxidant supply channel. The closest prior art of Goto et al., Kobayashi, and Shiue et al. do not disclose a separator body comprised of a fan or pump provided within said separator body provided at an opening on an inlet end of the fluid oxidant supply channel as recited in independent claims 13, 23, and 24.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

## Contact/Correspondence Information

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kwang Han whose telephone number is (571) 270-5264. The examiner can normally be reached on Monday through Friday 8:00am to 5:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Barbara Gilliam can be reached on (571) 272-1330. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Art Unit: 1727

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/K. H./ Examiner, Art Unit 1727

/Barbara L. Gilliam/ Supervisory Patent Examiner, Art Unit 1727